



**Phase II SPDES General Permit for  
Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4s), GP-02-02  
MUNICIPAL COMPLIANCE CERTIFICATION (MCC) FORM**

**Regulated MS4: Village of Castleton-on-Hudson SPDES Permit Number: NYR20A 393**

See information packet for information to help complete this form.

|                                                                                                                                                                                                                                                                        |                                                        |                                              |                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------|---------------------------|
| MCC Form for year ending: March 9, <input checked="" type="checkbox"/> 2006 (Year 3) <input type="checkbox"/> 2007 (Year 4) <input type="checkbox"/> 2008 (Year 5)                                                                                                     |                                                        |                                              |                           |
| <b>Section A. MS4 Owner/Operator and Contact Person Information</b> (contact persons explained in instructions)                                                                                                                                                        |                                                        |                                              |                           |
| <b>Owner/Operator</b> Is information below new or changed? <input type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                    |                                                        |                                              |                           |
| Name: Nancy W. Perry                                                                                                                                                                                                                                                   |                                                        | Title: Mayor                                 | Department: NA            |
| Mailing Address:                                                                                                                                                                                                                                                       | Street or P.O. Box:<br>PO Box 126<br>85 South Main St. | City:<br>Castleton-on-Hudson                 |                           |
|                                                                                                                                                                                                                                                                        | County:<br>Rensselaer                                  | State:<br>NY                                 | Zip Code:<br>12033        |
| Phone:<br>( 518 ) 732-2211                                                                                                                                                                                                                                             |                                                        | E-mail Address:<br>mayornancyperry@yahoo.com |                           |
| <b>Local Stormwater Public Contact</b> (Required by Minimum Measure 2)                                                                                                                                                                                                 |                                                        |                                              |                           |
| Is information below: 1) new or changed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>2) same as: <input type="checkbox"/> Owner/Operator                                                                                                    |                                                        |                                              |                           |
| Name: Lorne Dawes                                                                                                                                                                                                                                                      |                                                        | Title: Superintendent                        | Department: Water & Sewer |
| Mailing Address:                                                                                                                                                                                                                                                       | Street or P.O. Box:<br>PO Box 126<br>85 South Main St  | City: Castleton-on-Hudson                    |                           |
|                                                                                                                                                                                                                                                                        | County:<br>Rensselaer                                  | State:<br>New York                           | Zip Code: 12033           |
| Phone:<br>( 518 ) 762-2752                                                                                                                                                                                                                                             |                                                        | E-mail Address:                              |                           |
| <b>Stormwater Management Program (SWMP) Coordinator</b> (Responsible for implementation/coordination of SWMP)                                                                                                                                                          |                                                        |                                              |                           |
| Is information below: 1) new or changed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>2) same as: <input type="checkbox"/> Owner/Operator <input checked="" type="checkbox"/> Local Stormwater Public Contact                                |                                                        |                                              |                           |
| Name:                                                                                                                                                                                                                                                                  |                                                        | Title:                                       | Department:               |
| Mailing Address:                                                                                                                                                                                                                                                       |                                                        | City:<br>New Lebanon                         |                           |
|                                                                                                                                                                                                                                                                        | County:<br>Columbia                                    | State:                                       | Zip Code:                 |
| Phone:                                                                                                                                                                                                                                                                 |                                                        | E-mail Address:                              |                           |
| <b>Annual Report Preparer</b>                                                                                                                                                                                                                                          |                                                        |                                              |                           |
| Is information below: 1) new or changed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>2) same as: <input type="checkbox"/> Owner/Operator <input type="checkbox"/> Local Stormwater Public Contact <input type="checkbox"/> SWMP Coordinator |                                                        |                                              |                           |
| Name:<br>Douglas C. Clark<br>Clark Engineering & Surveying, P.C                                                                                                                                                                                                        |                                                        | Title: Village Engineering Consultant        | Department:               |
| Mailing Address:                                                                                                                                                                                                                                                       | Street or P.O. Box:<br>PO Box 730                      | City:                                        |                           |
|                                                                                                                                                                                                                                                                        | County:                                                | State:<br>NY                                 | Zip Code:<br>12125        |
| Phone:<br>( 518 ) 794-8613                                                                                                                                                                                                                                             |                                                        | E-mail Address: dclark@clarkpc.com           |                           |

**IMPORTANT NOTE:** Rows can be added to the tables in the following sections by going to the rightmost cell in the bottom row of the table and hitting tab. Hitting return in a given row will make the row wider, creating more room to type or write.

### Section B. Local Water Quality Information

Information to help complete this section can be found in the instructions.

1. Does the MS4 discharge to 303(d) listed waters or is it in a TMDL watershed?

\_\_\_ Yes (complete the table below)    ☒ No    \_\_\_ Not Yet Determined

(Put an X in the 'Classification' cell to indicate if the MS4 discharges to a waterbody on the 303(d) list and / or if it is in a TMDL watershed.)

| Impaired Waters Name<br>(from 303 (d) list and/or TMDL) | Pollutant(s) of Concern<br>(from 303 (d) list and/or TMDL) | Classification |      |
|---------------------------------------------------------|------------------------------------------------------------|----------------|------|
|                                                         |                                                            | 303 (d)        | TMDL |
|                                                         |                                                            |                |      |
|                                                         |                                                            |                |      |
|                                                         |                                                            |                |      |
|                                                         |                                                            |                |      |

2. Have you received notification from the Department that you are subject to the special conditions in Part III.B. of the permit?

\_\_\_ Yes  
☒ No

3. Have all necessary changes been made to the Stormwater Management Program (SWMP) to ensure compliance with Part III.B. of the MS4 permit for discharges to 303(d) or TMDL waters?

\_\_\_ Yes  
\_\_\_ No (explain below)  
Not applicable

Explanation:  
Not applicable

**Section C. Partnership Information**

Information to help complete this section can be found in the instructions.

1. Does your MS4 work with partners? ☒ Yes (complete table below) ☐ No (Proceed to Section D)

**List MS4 Partners with Legally Binding Agreements or Contracts in Place****List MS4 Partners with Planned Legally Binding Agreements or Contracts****List MS4 Partners with Other Agreements in Place**

Rensselaer County Economic Development & Planning, with Rensselaer County Municipalities and Capital District Regional Planning Commission.

**Section D. Geographic Areas Addressed by Stormwater Management Program (SWMP)**

Information to help complete this section can be found in the instructions.

1. Does your SWMP cover all jurisdictional (automatic and additionally designated) areas within the MS4, as required by 40 CFR 122.32(a)? ☒ Yes ☐ No (Explain below)

Explain:

### Section E. Funding and Resource Allocation

Information to help complete this section can be found in the instructions.

1. Are adequate resources (funding mechanism, equipment, staff, etc.) planned or in place to fully implement your SWMP no later than January 8, 2008? ☐ Yes ☒ No (explain below)

Explain:

Still waiting for 2003 funding. Applied for funding 2006

2. If the MS4 is receiving funding through the municipal budget, a grant, or other source, briefly explain below: what are the sources, estimated amounts, and frequency of funding for the MS4?

Explain: Grant for assistance through RCED&P (\$88,200 for county), municipal budget

3. If the MS4 is not receiving funding, briefly explain below: plans the MS4 has for obtaining future funding?

Explain:

Researching funding opportunities

### Section F. Compliance Certification

**Compliance Assessment** - For each of the minimum control measures, indicate below if your program has made steady progress toward full implementation *and* has achieved all measurable goals scheduled to be completed **during this reporting year**. Refer to the NOI and prior Annual Reports for information about measurable goals scheduled for this reporting year.

| Permit Part | Minimum Control Measure                                                                                                                   | ANSWER BOTH COLUMNS FOR THIS REPORT YEAR ONLY                                                    |                                                                                                  |
|-------------|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
|             |                                                                                                                                           | Steady Progress                                                                                  | Goals Achieved                                                                                   |
| IV.C.1.     | Public Education and Outreach on Stormwater Impacts                                                                                       | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A |
|             | Explain 'no' / 'N/A' answer:<br>Request made to state for printed info to place in library                                                |                                                                                                  |                                                                                                  |
| IV.C.2.     | Public Involvement / Participation                                                                                                        | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A |
|             | Explain 'no' / 'N/A' answer:<br>Priority on developing local laws                                                                         |                                                                                                  |                                                                                                  |
| IV.C.3.     | Illicit Discharge Detection and Elimination                                                                                               | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A |
|             | Explain 'no' / 'N/A' answer:<br>Priority on developing local laws. Waiting for 2003 funding for GPS to map                                |                                                                                                  |                                                                                                  |
| IV.C.4.     | Construction Site Stormwater Runoff Control                                                                                               | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A |
|             | Explain 'no' / 'N/A' answer:<br>Have formed committee (planning board) and discussed. Waiting for new chairman in January 07              |                                                                                                  |                                                                                                  |
| IV.C.5.     | Post-Construction Stormwater Management                                                                                                   | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A |
|             | Explain 'no' / 'N/A' answer:<br>Priority on developing local laws                                                                         |                                                                                                  |                                                                                                  |
| IV.C.6.     | Pollution Prevention / Good Housekeeping for Municipal Operations                                                                         | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A |
|             | Explain 'no' / 'N/A' answer:<br>Waiting for State guide document. 2003 funds to be used for training module. Purchased new street cleaner |                                                                                                  |                                                                                                  |

**Certification Statement**

*"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."*

Print Name: Nancy W. Perry

Title: Mayor

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

This form must be signed by either a principal executive officer or ranking elected official, or duly authorized representative of that person as described in Part VI.I.2. of the permit. See instructions for more information about who can sign this form.

Send two completed **hard copies** (an original and a photocopy) of this form, the Annual Report Table and any attachments to the DEC Central Office (MS4 Permit Coordinator, 625 Broadway, Division of Water - 4<sup>th</sup> Floor, Albany, NY 12233-3505). **DO NOT SUBMIT REPORTS IN THREE-RING BINDERS.**



**Phase II SPDES General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4s), GP-02-02  
STORMWATER MANAGEMENT PROGRAM ANNUAL REPORT (SWMPAR) TABLE**

**Regulated MS4:** Castleton-on-Hudson **SPDES Permit Number:** NYR20A 393

Annual Report Table for year ending: March 9,      x 2006 (Year 3)      2007 (Year 4)      2008 (Year 5)

Information about how to complete the follow tables is in the instruction section. Please complete the tables electronically, if possible. Send two completed **hard copies** (an original and a photocopy) of this Annual Report Table, the MCC form and any attachments to the DEC Central Office (MS4 Permit Coordinator, 625 Broadway, Division of Water - 4<sup>th</sup> Floor, Albany, NY 12233-3505). **DO NOT SUBMIT REPORTS IN THREE-RING BINDERS.**

**Minimum Control Measure 1. Public Education and Outreach**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

| <b>Permit Reference IV.C.1.a, b:</b> Plan and conduct an ongoing public education and outreach program to ensure the reduction of all pollutants of concern in stormwater discharges to the maximum extent practicable (MEP).                                            |                                                                          | <b>Describe Measurable Goals and Results</b> (when applicable)<br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li><i>Explain the program, including activities and materials used</i></li> <li><i>Identify the personnel or outside organization conducting the activity.</i></li> <li><i>Indicate activities planned for next year.</i></li> </ul> | Obtain public information materials from DEC                             | 6/07                                                                                                                                                           |
|                                                                                                                                                                                                                                                                          | On going Educational & Outreach Programs with Rensselaer County Planning | 4/28, 2005, 5/2/05, 8/13/06, 11/1<br>Plan Billboards and ads when funding released                                                                             |
|                                                                                                                                                                                                                                                                          | Provide info on Village Web Site                                         | Will link to county's site when available                                                                                                                      |
| Provide section in Library                                                                                                                                                                                                                                               |                                                                          | 12/06                                                                                                                                                          |
| <b>Additional Techniques</b>                                                                                                                                                                                                                                             |                                                                          | <b>Describe Measurable Goals and Results</b> (when applicable)<br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
| Streamside property owner brochures planned for 2006 grant                                                                                                                                                                                                               |                                                                          | Proposed for 2007/08                                                                                                                                           |
| <b>Explain any changes or additions to the Permit Referenced Activities / Techniques, Measurable Goals and / or Scheduled Dates above and provide a reason(s) for the change:</b>                                                                                        |                                                                          |                                                                                                                                                                |

Municipality: Castleton-on-Hudson

Permit Number: NYR20A 393

**Minimum Control Measure 2. Public Involvement/Participation**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

|                                                                                                                                                                                                                                                                                                                |  |                                                                                                                                                                |                                               |  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--|--|
| <b>Permit Reference IV.C.2.c.iii.:</b> Design and conduct a public involvement / participation program.                                                                                                                                                                                                        |  | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |                                               |  |  |
| <ul style="list-style-type: none"> <li>Describe activities that the MS4 has/will undertake to provide program access to interested individuals and to gather needed input.</li> <li>Indicate activities planned for next year.</li> </ul>                                                                      |  | 10/3/05                                                                                                                                                        |                                               |  |  |
| Public Hearings (county)                                                                                                                                                                                                                                                                                       |  | 4/28/05, 5/2/05                                                                                                                                                |                                               |  |  |
| Public Meetings (county)                                                                                                                                                                                                                                                                                       |  |                                                                                                                                                                |                                               |  |  |
| <b>Permit Reference IV.C.2.a, f:</b> Develop procedures to provide public notice about and access to documents and information in a manner that complies with state and local public notice requirements. <i>Describe procedures below and state the methods used to publicize the AR public presentation.</i> |  |                                                                                                                                                                |                                               |  |  |
|                                                                                                                                                                                                                                                                                                                |  |                                                                                                                                                                |                                               |  |  |
| <b>Permit Reference IV.C.2.e:</b> Public presentation of; f: summary of comments received on; and g: intended response to comments on the SWMPAR.                                                                                                                                                              |  |                                                                                                                                                                |                                               |  |  |
| <b>Summarize attendance at the public presentation of the Annual Report. Include number of attendees and who was represented:</b>                                                                                                                                                                              |  |                                                                                                                                                                |                                               |  |  |
| Linda von der Heide, RCEDP, Fred Howard MS4 for County                                                                                                                                                                                                                                                         |  |                                                                                                                                                                |                                               |  |  |
| <b>Comments on Annual Report Meeting</b>                                                                                                                                                                                                                                                                       |  | <b>Date of Annual Report Meeting:</b>                                                                                                                          | <b>Approximate Date of Meeting Next Year:</b> |  |  |
| x__ No public comments received on Annual Report.<br>__ Comments received. Attach summary of comments and intended responses.                                                                                                                                                                                  |  | 10/03/05 (county)<br>12/11/06 scheduled but was canceled                                                                                                       | 5/01/07                                       |  |  |
| <b>Additional Techniques</b>                                                                                                                                                                                                                                                                                   |  | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |                                               |  |  |
|                                                                                                                                                                                                                                                                                                                |  |                                                                                                                                                                |                                               |  |  |
| <b>Explain any changes or additions to the Permit Referenced Activities / Techniques, Measurable Goals and / or Scheduled Dates above and provide a reason(s) for the change:</b>                                                                                                                              |  |                                                                                                                                                                |                                               |  |  |

**Minimum Control Measure 3. Illicit Discharge Detection and Elimination (IDDE)**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

| <b>Permit Reference IV.C.3.a:</b> Develop, implement and enforce a program to detect, identify and eliminate illicit discharges, including illegal dumping, into the MS4.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>• <i>Explain the activities and procedures used to meet this requirement this year and planned for next year.</i></li> <li>• <i>Revise as procedures are updated.</i></li> <li>• <i>Identify personnel or outside organization conducting the activities</i></li> </ul>                                                                                                                                                                                                                                                                                                                                                                           | <ul style="list-style-type: none"> <li>• <i>Example measurable goals: number of illicit discharges detected; number of illicit discharges eliminated.</i></li> </ul> |
| Develop storm sewer inspection program                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 12/07                                                                                                                                                                |
| Will adopt local law.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 12/07                                                                                                                                                                |
| Hold work shop with DPW, building inspector, code enforcement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 6/07                                                                                                                                                                 |
| <b>Permit Reference IV.C.3.b:</b> Develop and maintain a map showing the location of all outfalls and the names and location of all waters of the US that receive discharges from outfalls. <i>Explain activities performed this year and planned for next year, including work on the following IDDE guidance prerequisites:</i> <ul style="list-style-type: none"> <li>• field verification of outfall locations;</li> <li>• mapping all inter-municipal subsurface conveyances;</li> <li>• delineating storm sewershed; and</li> <li>• developing and retaining MS4 mapping as needed to find the source and identify illicit discharges. <i>State if maps are in GIS.</i></li> </ul> | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)       |
| Request NYSDOT mapping of NYS Rt 150 & 9J sewers – These roads comprise most of Village Outfall locations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 03/07                                                                                                                                                                |
| Mapping of Green Ave, 2 <sup>nd</sup> street and portion of Scott Ave – mapping is GIS compatible                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 12/06                                                                                                                                                                |
| Additional GPS data collection pending funding of County Grant for GPS unit                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 6/07                                                                                                                                                                 |

**Minimum Control Measure 3. Illicit Discharge Detection and Elimination (IDDE) Regulatory Mechanism**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Permit Reference IV.C.3.c:</b> Prohibit, through an ordinance, local law or other regulatory mechanism, illicit discharges into the MS4. The MS4s have until year 5 to complete the local law work. <b>See the instructions for information about completing this section.</b></p> <p>Does the MS4 have the legal authority to enact ordinances, local laws or other regulatory mechanisms?</p> <p> <input type="checkbox"/> No (go to ADDENDUM 1)<br/> <input checked="" type="checkbox"/> Yes (complete questions below)         </p> |                                                                                                                                                                                                                                                                                                                                     |
| <p align="center"><b>Assessment of Regulatory Mechanism (Local Code)</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                     |
| 1) When was this assessment completed or planned to be completed?                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <p>Date completed: 10/06</p> <p><input type="checkbox"/> Not yet completed (proceed to Permit Reference IV.C.3.e)<br/>         Plan to complete for reporting in year: 4; 5.</p>                                                                                                                                                    |
| 2) Is there an existing ordinance, local law or other regulatory mechanism?                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <p><input type="checkbox"/> No (go to question 5)<br/> <input checked="" type="checkbox"/> Yes</p>                                                                                                                                                                                                                                  |
| 3) Does the existing regulatory mechanism prohibit illicit discharges as required by the MS4 Permit?                                                                                                                                                                                                                                                                                                                                                                                                                                          | <p><input type="checkbox"/> No (amendments needed)<br/> <input checked="" type="checkbox"/> Yes</p>                                                                                                                                                                                                                                 |
| 4) Does the existing regulatory mechanism include enforcement authorities and procedures as required by the MS4 Permit?                                                                                                                                                                                                                                                                                                                                                                                                                       | <p><input type="checkbox"/> No (amendments needed)<br/> <input checked="" type="checkbox"/> Yes</p>                                                                                                                                                                                                                                 |
| <p align="center"><b>Development of Regulatory Mechanism (Local Codes)</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                     |
| 5) When was this work completed or planned to be completed?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <p>Date completed:</p> <p><input checked="" type="checkbox"/> Not yet completed (proceed to Permit Reference IV.C.3.e)<br/>         Plan to complete work below for reporting in year: 4; 5.</p>                                                                                                                                    |
| 6) If you answered 'No' to question 1, 2 or 3, what regulatory mechanism or amendments will be adopted to meet the MS4 permit requirements?                                                                                                                                                                                                                                                                                                                                                                                                   | <p><input checked="" type="checkbox"/> NYS IDDE Model Law in its entirety</p> <p><input type="checkbox"/> Selected NYS IDDE Model Law articles adopted as amendments to existing code(s) that are equivalent to the NYS IDDE Model Law</p> <p><input type="checkbox"/> MS4 will write language equivalent to NYS IDDE Model Law</p> |
| 7) If you answered 'No' to question 1, 2 or 3, has a list of needed changes to local codes been developed for adoption of the regulatory mechanism?                                                                                                                                                                                                                                                                                                                                                                                           | <p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes, list the local code(s) that will be changed:</p>                                                                                                                                                                                                     |
| 8) If the existing regulatory mechanism does not require amendments, what language is in the mechanism?                                                                                                                                                                                                                                                                                                                                                                                                                                       | <p><input type="checkbox"/> NYS IDDE Model Law in its entirety</p> <p><input checked="" type="checkbox"/> Selected NYS IDDE Model Law articles adopted as amendments to existing code(s) that are equivalent to the NYS IDDE Model Law</p> <p><input type="checkbox"/> Language equivalent to NYS IDDE Model Law</p>                |
| 9) What was the date or is the planned date of local law adoption?                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Date 12/07                                                                                                                                                                                                                                                                                                                          |
| 10) Provide a web address if adopted local law can be found on a web site.                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Web Address:                                                                                                                                                                                                                                                                                                                        |

**Minimum Control Measure 3. Illicit Discharge Detection and Elimination (IDDE)**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

| <b>Permit Reference IV.C.3.e:</b> Inform public employees, businesses and the general public of hazards associated with illegal discharges and improper disposal of waste. <ul style="list-style-type: none"> <li><i>Explain activities and materials used to meet this requirement this year and planned for next year</i></li> <li><i>Identify personnel or outside organization conducting activities</i></li> </ul> | <b>Describe Measurable Goals and Results</b> (when applicable)<br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Plan to print in Village newspaper, add to web site                                                                                                                                                                                                                                                                                                                                                                     | 12/07                                                                                                                                                          |
| Train village DPW, code enforcement to                                                                                                                                                                                                                                                                                                                                                                                  | 12/07                                                                                                                                                          |
| <b>Additional Techniques</b><br><br>Health Department has ongoing public education re: on site wastewater systems                                                                                                                                                                                                                                                                                                       | <b>Describe Measurable Goals and Results</b> (when applicable)<br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
| <b>Explain any changes or additions to the Permit Referenced Activities / Techniques, Measurable Goals and / or Scheduled Dates above and provide a reason(s) for the change:</b>                                                                                                                                                                                                                                       |                                                                                                                                                                |

**Minimum Control Measure 4 and 5. Construction Site and Post-Construction Stormwater Runoff Control Regulatory Mechanism**

**Permit Reference IV.C.4.b.i, 5.a.i:** Require development and implementation of erosion and sedimentation controls through a local law or other regulatory mechanism. Report on assessment process used (*Stormwater Management Gap Analysis Workbook for Local Officials* or equivalent process). The MS4s have until year 5 to complete the local law work. **See the instructions for information about completing this section.**

|                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Does the MS4 have the legal authority to enact land use ordinances, local laws or other regulatory mechanisms? | <input type="checkbox"/> No (go to ADDENDUM 2)<br><input type="checkbox"/> Yes (complete questions below)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>Preliminary Assessment of Regulatory Mechanism (Local Code)</b>                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 1. When was the preliminary assessment of existing local codes completed or when will it be completed?         | Date completed: <u>8/21/06</u> Not yet completed (proceed to Permit Reference IV.C.4.b.v) Plan to complete for reporting in year: <u>4</u> ; <u>5</u> .<br>Did not do preliminary assessment; proceeded directly to Gap Analysis Worksheets 1-4 or adopted <i>Sample Local Law for Stormwater Management and Erosion &amp; Sediment Control</i> (Sample Local Law).                                                                                                                                                                                                                                                                                                                                                                             |
| 2. If preliminary assessment was completed, indicate the results.                                              | <input type="checkbox"/> If none of Sample Local Law provisions appear in local code; consider adopting Sample Local Law or equivalent<br><input type="checkbox"/> <u>x</u> If few Sample Local Law provisions appear in local code; major revisions needed or consider adopting Sample Local Law or equivalent<br><input type="checkbox"/> If most of the Sample Local Law provisions appear in local code; minor revisions needed                                                                                                                                                                                                                                                                                                             |
| <b>Assessment and Development of Regulatory Mechanism (Local Code)</b> (continued on next page)                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 3. When was the Gap Analysis or equivalent process completed or when will it be completed?                     | Date completed: <u>                    </u> Not yet completed (proceed to Permit Reference IV.C.4.b.v)<br>Plan to complete work below for reporting in year: <u>x</u> <u>4</u> ; <u>5</u> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 4. How was the local code adopted or how will it be adopted*?                                                  | a. <u>          </u> The entire Sample Local Law adopted as amendments to existing code or as stand alone law.<br>• If no portions of the Sample Local Law were moved or deleted, all provisions would be exactly the same as the Sample Local Law.<br>• If ANY provisions of the Sample Local Law were moved or deleted, the moved or changed provisions must be reviewed (use the <i>Gap Analysis</i> or equivalent process) to ensure the intent of the law has not been changed.<br>b. <u>          </u> Parts of NYS Sample Local Law adopted as amendments to existing code.<br>c. <u>x</u> <u>          </u> Language developed by municipality was demonstrated to be equivalent.<br>Developed by County MS4 group with help from CDRPC |

\*If MS4 has some existing local code equivalent to the Sample Local Law and adopted parts of the Sample Local Law as amendments to make a complete local code, check b and c.

**Minimum Control Measure 4 and 5. Construction Site and Post-Construction Stormwater Runoff Control Regulatory Mechanism**

**Permit Reference IV.C.4.b.i, 5.a.i (continued)**

| <b>Assessment and Development of Regulatory Mechanism (Local Code) (continued)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                           |                                                                                            |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|--|
| <p><b>5.</b> Answer the following questions about the Gap Analysis or equivalent processes.</p> <p>Clauses are defined as: All the Sample Local Law sections or subsections in the Gap Analysis Worksheets 1-4 that have a box in the "Equivalence" column, meaning that there is an associated "Equivalence" sheet (with the exception of Article 6, Section 4 which does not have an Equivalence sheet).</p> <p>Total number of clauses in each worksheet: Sample Local Law Article 1 (Gap Analysis Worksheet 1) - 8 clauses; Sample Local Law Article 2 (Gap Analysis Worksheet 2) - 51 clauses; Sample Local Law Article 3, 4, 5 (Gap Analysis Worksheet 3) - 3 clauses; Sample Local Law Article 6 (Gap Analysis Worksheet 4) - 9 clauses.</p> <p>MS4s that adopt the entire Sample Local Law as amendments to existing code or as stand alone law need to indicate the number of clauses being adopted that are exactly the same as the Sample Local Law, or equivalent, in the right-hand column below.</p> |                                                                                                                                                           |                                                                                            |  |
| Sample Local Law Articles                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                           | NUMBER OF REQUIRED CLAUSES IN LOCAL LAW                                                    |  |
| Existing clauses exactly the same as the Sample Local Law language                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Existing clauses equivalent to the Sample Local Law language (see Gap Analysis Workbook Equivalence Sheets for information to help determine equivalence) | Sample Local Law or equivalent language to be adopted, listed as legislative agenda items. |  |
| 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 1                                                                                                                                                         | 7                                                                                          |  |
| 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 28                                                                                                                                                        | 23                                                                                         |  |
| 3, 4, 5                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 0                                                                                                                                                         | 3                                                                                          |  |
| 6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 3                                                                                                                                                         | 6                                                                                          |  |
| TOTAL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 32                                                                                                                                                        | 39                                                                                         |  |
| <p><b>6.</b> Has a list of needed changes (legislative agenda) been developed for adoption of amendments to local codes (or for deletion of existing codes that are addressed by adoption of a stand alone law)?</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                           | <p><input type="checkbox"/> Yes, list the local codes that will be changed:</p>            |  |
| <p><b>7.</b> What was the date or is planned date of local code adoption?</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                           | <p>Date: 12/07</p>                                                                         |  |
| <p><b>8.</b> Provide a web address if the adopted local law can be found on a web site.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                           | <p>Web Address:</p>                                                                        |  |

**Minimum Control Measure 4. Construction Site Stormwater Runoff Control**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

|                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Permit Reference IV.C.4.b. v:</b> Develop and implement procedures for site plan review by the MS4 that incorporate consideration of potential water quality impacts and review individual pre-construction site plans to ensure consistency with local sediment and erosion control requirements. <ul style="list-style-type: none"><li>• <i>Describe the procedures below. <u>Revise as procedures are updated.</u></i></li></ul> | <b>Describe Measurable Goals and Results</b> (when applicable)<br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) <ul style="list-style-type: none"><li>• <i>Example measurable goals: number of plans received; number of plans reviewed; percent of plans received that are reviewed.</i></li></ul> |
|                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                    |
|                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                    |
|                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                    |
| <b>Permit Reference IV.C.4.b. vi:</b> Develop and implement procedures for the receipt and consideration of information submitted by the public. <ul style="list-style-type: none"><li>• <i>Explain the procedures below. <u>Revise as procedures are updated.</u></i></li><li>• <i>Identify the responsible personnel or outside organizations.</i></li></ul>                                                                         | <b>Describe Measurable Goals and Results</b> (when applicable)<br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)                                                                                                                                                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                    |
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**Minimum Control Measure 4. Construction Site Stormwater Runoff Control**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                  |
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| <p><b>Permit Reference IV.C.4.b. iii, vii:</b> Develop and implement procedures for site inspections, enforcement of control measures and sanctions to ensure compliance with GP-02-02.</p> <ul style="list-style-type: none"> <li>Describe each procedure below. <i>Revise as procedures are updated.</i></li> </ul>                                                                                                                                                                       | <p><b>Describe Measurable Goals and Results</b> (when applicable)<br/> <b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)</p> <ul style="list-style-type: none"> <li>Example measurable goals are number of inspections; fines assessed; stop work orders; other sanctions.</li> </ul> |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                  |
| <p><b>Permit Reference IV.C.4.b. viii:</b> Educate and train construction site operators about requirements to develop and implement a SWPPP and any other requirements they must meet <b>within the MS4s jurisdiction.</b></p> <ul style="list-style-type: none"> <li>Explain the activities and materials used to meet this requirement.</li> <li>Identify the personnel or outside organization conducting this activity.</li> <li>Indicate activities planned for next year.</li> </ul> | <p><b>Describe Measurable Goals and Results</b> (when applicable)<br/> <b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)</p>                                                                                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                  |
| <p><b>Additional Techniques</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <p><b>Describe Measurable Goals and Results</b> (when applicable)<br/> <b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)</p>                                                                                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                  |
| <p><b>Explain any changes or additions to the Permit Referenced Activities / Techniques, Measurable Goals and / or Scheduled Dates above and provide a reason(s) for the change:</b></p>                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                  |

**Minimum Control Measure 5. Post-Construction Stormwater Management**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

| <p><b>Permit Reference IV.C.5.a, c.</b> Develop and implement a post-construction stormwater management program that addresses stormwater runoff from new development and redevelopment and will reduce the discharge of pollutants to the MEP. Program requirements should include:</p>        | <p><b>Describe Measurable Goals and Results</b> (when applicable)<br/><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)</p>                        |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>• A combination of structural and/or non-structural management practices.</li> <li>• <i>Identify and describe below procedures to ensure installation of post-construction management practices. <u>Revise as procedures are updated.</u></i></li> </ul> | <p>DO NOT ENTER INFORMATION IN THIS CELL</p>                                                                                                                                                  |
| <ul style="list-style-type: none"> <li>• Procedures for site plan and SWPPP review to ensure SWMPs meet state standards.</li> <li>• <i>Describe procedures below. <u>Revise as procedures are updated.</u></i></li> </ul>                                                                       | <ul style="list-style-type: none"> <li>• <i>Example measurable goals include: number of plans received; number of plans reviewed; percent of plans received that are reviewed.</i></li> </ul> |
|                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                               |

**Minimum Control Measure 5. Post-Construction Stormwater Management**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

| <b>Permit Reference IV.C.5.a, c. (continued):</b> Develop and implement a post-construction stormwater management program that addresses stormwater runoff from new development and redevelopment and will reduce the discharge of pollutants to the MEP. Program requirements should include: |  | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"><li>Procedures for inspection and maintenance of post-construction management practices.</li><li><u>Explain procedures below. <i>Revise as procedures are updated.</i></u></li></ul>                                                                         |  | <ul style="list-style-type: none"><li><i>Example measurable goals are number of: inspections maintenance activities performed.</i></li></ul>                   |
|                                                                                                                                                                                                                                                                                                |  |                                                                                                                                                                |
| <ul style="list-style-type: none"><li>Procedures for enforcement and penalization of violators.</li><li><u>Explain procedures below. <i>Revise as procedures are updated.</i></u></li></ul>                                                                                                    |  | <ul style="list-style-type: none"><li><i>Example measurable goals: number enforcement activities performed.</i></li></ul>                                      |
|                                                                                                                                                                                                                                                                                                |  |                                                                                                                                                                |
|                                                                                                                                                                                                                                                                                                |  |                                                                                                                                                                |
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**Minimum Control Measure 5. Post-Construction Stormwater Management**

Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.

| <b>Permit Reference IV.C.5.a, c.</b> (continued): Develop and implement a post-construction stormwater management program that addresses stormwater runoff from new development and redevelopment and will reduce the discharge of pollutants to the MEP. Program requirements should include: | <b>Describe Measurable Goals and Results</b> (when applicable)<br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>• Adequate resources for a program to inspect new and re-development sites and for enforcement and penalization of violators.</li> <li>• <i>Describe resources below. Update annually.</i></li> </ul>                                                   | DO NOT ENTER INFORMATION IN THIS CELL                                                                                                                          |
| On going training through Rensselaer County Planning                                                                                                                                                                                                                                           | 11/1/06, to be scheduled in 2007                                                                                                                               |
| <b>Additional Techniques</b>                                                                                                                                                                                                                                                                   | <b>Describe Measurable Goals and Results</b> (when applicable)<br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
| County Health Code requires SWPP for subdivision approval                                                                                                                                                                                                                                      | ongoing                                                                                                                                                        |
| <b>Explain any changes or additions to the Permit Referenced Activities / Techniques, Measurable Goals and / or Scheduled Dates above and provide a reason(s) for the change:</b>                                                                                                              |                                                                                                                                                                |

**Minimum Control Measure 6. Pollution Prevention/Good Housekeeping for Municipal Operations**

**OVERALL MUNICIPAL POLLUTION PREVENTION / GOOD HOUSEKEEPING PROGRAM INFORMATION**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>This table is for MS4s to report on their OVERALL Municipal Pollution Prevention / Good Housekeeping Program.</li> <li>A separate table follows that is for MS4s to report on management practices performed in identified municipal operations.</li> <li>Refer to the Municipal Pollution Prevention / Good Housekeeping Assistance document for example best management practices, policies and procedures.</li> <li>Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.</li> </ul> |                                                                                                                                                                |
| <b>Permit Reference IV.C.6.a:</b> Develop and implement an operation and maintenance program to reduce and prevent pollutant discharges from municipal operations to the MEP.                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
| <ul style="list-style-type: none"> <li>List pollutants that will be addressed by the municipal pollution prevention program.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 06/07                                                                                                                                                          |
| Non point source                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                |
| <ul style="list-style-type: none"> <li>Set and describe pollution prevention priorities by geographic areas, municipal operation type, and facilities.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                      | DO NOT ENTER INFORMATION IN THIS CELL                                                                                                                          |
| DPW - new street cleaner in 06                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | ongoing                                                                                                                                                        |
| Wastewater Treatment Plant – New UV disinfection in 06                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | ongoing                                                                                                                                                        |
| <b>Permit Reference IV.C.6.a:</b> Include a municipal pollution prevention training component for staff (where all staff are trained). <ul style="list-style-type: none"> <li>Explain activities and materials used to meet this requirement.</li> <li>Identify training needs and design training components</li> <li>Determine the adequacy and appropriate frequency of staff training.</li> <li>Identify personnel or outside organization conducting activities.</li> </ul>                                                                                                                                       | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
| Train DPW with help from RC planning materials                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 6/07                                                                                                                                                           |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                |
| <b>Additional Techniques</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                |
| <b>Explain any changes or additions to the Permit Referenced Activities / Techniques, Measurable Goals and / or Scheduled Dates above and provide a reason(s) for the change:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                |

Municipality: Castleton-on-Hudson

Permit Number: NYR20A 393 \_ \_ \_

**Minimum Control Measure 6. Municipal Operations:** ☐ Street and Bridge Maintenance; ☒ Winter Road Maintenance;☒ Stormwater System Maintenance; ☐ Vehicle and Fleet Maintenance; ☐ Park and Open Space Maintenance; ☒ Municipal Building Maintenance; ☐ Solid Waste Management; ☐ Other: \_\_\_\_\_

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>• Copy this page and give it to each municipal office or department responsible for reporting.</li> <li>• Put an 'X' in front of each municipal operation type addressed by the Municipal Pollution Prevention/Good Housekeeping Program in that office or department.</li> <li>• Refer to the Municipal Pollution Prevention / Good Housekeeping Assistance document for example best management practices, policies and procedures.</li> <li>• Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.</li> </ul> |                                                                                                                                                                |
| <b>Permit Reference IV.C.6.a, c:</b> Develop and implement an operation and maintenance program to reduce and prevent pollutant discharges from the <b>municipal operation(s) indicated above</b> to the MEP. <ul style="list-style-type: none"> <li>• Describe how the bulleted items below focus on pollutants addressed by the municipal pollution prevention program and the pollution prevention priorities.</li> <li>• Briefly describe or reference any existing policies and procedures</li> <li>• Briefly describe or reference any policies and procedures being developed</li> </ul>                                                  | <b>Describe Measurable Goals and Results (when applicable)</b><br><b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities) |
| Ex street cleaner                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | DO NOT ENTER INFORMATION IN THIS CELL                                                                                                                          |
| Plan storm cleaning, development of new policies.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 07                                                                                                                                                             |
| <ul style="list-style-type: none"> <li>• Briefly describe or reference any existing best management practices</li> <li>• Briefly describe or reference any planned best management practices</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                          | DO NOT ENTER INFORMATION IN THIS CELL                                                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                |
| <ul style="list-style-type: none"> <li>• Identify and describe the equipment and staff that are in place</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | DO NOT ENTER INFORMATION IN THIS CELL                                                                                                                          |
| Street cleaner                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                |

**Minimum Control Measure 6. Municipal Operations:** ☐ Street and Bridge Maintenance; ☐ Winter Road Maintenance; ☐ Stormwater System Maintenance; ☐ Vehicle and Fleet Maintenance; ☐ Park and Open Space Maintenance; ☐ Municipal Building Maintenance; ☐ Solid Waste Management; Other: ☐ Water and Wastewater Dept

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                      |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>• Copy this page and give it to each municipal office or department responsible for reporting.</li> <li>• Put an 'X' in front of each municipal operation type addressed by the Municipal Pollution Prevention/Good Housekeeping Program in that office or department.</li> <li>• Refer to the Municipal Pollution Prevention / Good Housekeeping Assistance document for example best management practices, policies and procedures.</li> <li>• Use separate rows to explain the different processes, activities, procedures, practices, etc. used by the MS4. Add additional rows as needed.</li> </ul> |                                                                                                                                                                                                                      |
| <p><b>Permit Reference IV.C.6.a, c (continued):</b> Develop and implement an operation and maintenance program to reduce and prevent pollutant discharges from municipal operations to the MEP.</p> <ul style="list-style-type: none"> <li>• <i>Assess if existing programs adequately reduce and/or prevent pollutant discharges</i></li> <li>• <i>Determine and list any operation type, location or facility that is in need of modification or updates.</i></li> </ul> <p>Need to develop programs</p>                                                                                                                                       | <p><b>Describe Measurable Goals and Results (when applicable)</b><br/> <b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)</p> <p>DO NOT ENTER INFORMATION IN THIS CELL</p> |
| <p><b>Permit Reference IV.C.6.a:</b> If there is a training component for staff specific to these municipal operations:</p> <ul style="list-style-type: none"> <li>• <i>explain the activities and materials;</i></li> <li>• <i>identify the personnel or outside organization conducting the activities.</i></li> </ul> <p>Ex operator training programs</p> <p>To develop new training program with help from RC Planning.</p>                                                                                                                                                                                                                 | <p><b>Describe Measurable Goals and Results (when applicable)</b><br/> <b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)</p> <p>ongoing</p>                               |
| <p><b>Additional Techniques</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <p><b>Describe Measurable Goals and Results (when applicable)</b><br/> <b>Indicate:</b> Date Completed, Ongoing Task, or Scheduled Date (for next years activities)</p>                                              |
| <p><b>Explain any changes or additions to the Permit Referenced Activities / Techniques, Measurable Goals and / or Scheduled Dates above and provide a reason(s) for the change:</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                      |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Did you include any of the following documents as appendices? Put a mark each appended document.</b></p>                                                                                                                                                                                                                                                                                                                                                                                                           |
| <p><input type="checkbox"/> Summary of public comments received on the annual report at the public presentation <b>(Required)</b></p> <p><input type="checkbox"/> Intended response to comments on the annual report <b>(Required)</b></p> <p><input type="checkbox"/> Results of information collected and analyzed, including monitoring data; evaluation of assessment (modeling) of pollutant discharges, including modeling results and pollutant transport trends.</p> <p><input type="checkbox"/> Other _____</p> |

**ADDENDUM REPORTING FOR  
MS4S THAT LACK LEGAL AUTHORITY TO ADOPT  
REGULATORY MECHANISMS FOR IDDE AND  
CONSTRUCTION / POST-CONSTRUCTION STORMWATER RUNOFF CONTROL**

**BE SURE TO INDICATE THE MS4 NAME AND PERMIT NUMBER IN THE HEADER**

**ADDENDUM 1. Minimum Control Measure 3. Illicit Discharge Detection and Elimination (IDDE) Local Law**

|                                                                                                                                                                                                                                                                                                                            |                                                                                                                        |                                                                                      |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| <b>Permit Reference IV.C.3.c:</b> Prohibit, through an ordinance, local law or other regulatory mechanism, illicit discharges into the MS4. The MS4s have until year 5 to complete this work.                                                                                                                              |                                                                                                                        |                                                                                      |
| <b>1)</b> When was this work completed or planned to be completed?                                                                                                                                                                                                                                                         | Date completed: _____ Not yet completed<br>Plan to complete for reporting in year: _____ 4; _____ 5.                   |                                                                                      |
| <b>2)</b> Indicate which of the control mechanisms or procedures to the right used by the MS4 notify staff and others doing work on behalf of the MS4 about prohibition of and enforcement against illicit discharges:                                                                                                     | _____ Interconnection agreements<br>_____ Maintenance directives / BMPS<br>_____ Access Permits<br>_____ Tenant Leases | _____ Consultant Agreements<br>_____ Construction/Bid Documents<br>_____ Other _____ |
| <b>3)</b> Indicate which of these control mechanisms contain specific language prohibiting illicit discharges:                                                                                                                                                                                                             | _____ Interconnection agreements<br>_____ Maintenance directives / BMPS<br>_____ Access Permits<br>_____ Tenant Leases | _____ Consultant Agreements<br>_____ Construction/Bid Documents<br>_____ Other _____ |
| <b>4)</b> Explain how the MS4 intends to prohibit illicit discharges if: <ul style="list-style-type: none"> <li>• none of the mechanisms in number 2 contain language prohibiting illicit discharges; or</li> <li>• the MS4 intends to add language to prohibit illicit discharges in other control mechanisms.</li> </ul> | Explanation:                                                                                                           |                                                                                      |
| <b>5)</b> Explain how the MS4 (intends to) enforce against illicit dischargers within their jurisdiction?                                                                                                                                                                                                                  | Explanation:                                                                                                           |                                                                                      |

**ADDENDUM 2. Minimum Control Measure 4 & 5. Construction Site & Post-Construction Stormwater Runoff Control Local Law**

|                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Permit Reference IV.C.4.b.i, 5.a.i:</b> Require development and implementation of erosion and sedimentation controls through a local law or other regulatory mechanism. The MS4s have until year 5 to complete this work.</p>                                                                                                                                     |                                                                                                                                                                                                                    |
| <p>1) When was this work completed or planned to be completed?</p>                                                                                                                                                                                                                                                                                                      | <p>Date completed: _____ Not yet completed<br/>Plan to complete for reporting in year: _____ 4; _____ 5.</p>                                                                                                       |
| <p>2) Indicate which of the control mechanisms or procedures below are used by the MS4 to notify staff and others doing work on behalf of the MS4 about the erosion, sedimentation and stormwater management requirements for projects under the MS4s jurisdiction. (These requirements are based on the Construction Permit (GP-02-01) and MS4 Permit (GP-02-02)).</p> |                                                                                                                                                                                                                    |
| <p>___ Access Permits<br/>___ Tenant Leases<br/>___ Requests for Proposals (RFPs)<br/>___ Scope of Services</p>                                                                                                                                                                                                                                                         | <p>___ Consultant Agreements<br/>___ Construction / Bid Documents<br/>___ Other Policies / Procedures</p>                                                                                                          |
| <p>3) All of the erosion, sedimentation and stormwater management requirements below must be addressed by the MS4's control mechanisms. For the control mechanisms identified in number 2 above, state in the left hand cells below the control mechanism(s) that contain the language.</p>                                                                             |                                                                                                                                                                                                                    |
| <b>Control Mechanism</b>                                                                                                                                                                                                                                                                                                                                                | <b>Erosion, Sedimentation and Stormwater Management Requirements</b>                                                                                                                                               |
|                                                                                                                                                                                                                                                                                                                                                                         | Require all projects to have SWPPPs, as in GP-02-01                                                                                                                                                                |
|                                                                                                                                                                                                                                                                                                                                                                         | Require all 16 components of a basic SWPPP (erosion and sediment control)                                                                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                         | Require all additional 7 components for a full SWPPP when post-construction control is required                                                                                                                    |
|                                                                                                                                                                                                                                                                                                                                                                         | Meet the standards in the <i>Erosion and Sediment Control</i> and <i>Stormwater Management Design Manuals</i> (or otherwise meet the requirements of GP-02-01)                                                     |
|                                                                                                                                                                                                                                                                                                                                                                         | Require contractor certification statements stating that the contractor will agree to comply with the terms and conditions of the SWPPP                                                                            |
|                                                                                                                                                                                                                                                                                                                                                                         | Require proper operation and maintenance of stormwater facilities during construction                                                                                                                              |
|                                                                                                                                                                                                                                                                                                                                                                         | Require proper operation and maintenance of stormwater facilities after construction                                                                                                                               |
|                                                                                                                                                                                                                                                                                                                                                                         | Require SWPPPs to be certified by a licensed / certified individual when there is a deviation from technical standards or direct discharge to a 303(d) segment or TMDL watershed subject to condition A of GP-0-01 |
|                                                                                                                                                                                                                                                                                                                                                                         | Have a process for review of SWPPPs                                                                                                                                                                                |
|                                                                                                                                                                                                                                                                                                                                                                         | Require site self inspections as in GP-02-01                                                                                                                                                                       |
|                                                                                                                                                                                                                                                                                                                                                                         | Have enforcement procedures during and after construction                                                                                                                                                          |
|                                                                                                                                                                                                                                                                                                                                                                         | Require construction site operators to control waste                                                                                                                                                               |
|                                                                                                                                                                                                                                                                                                                                                                         | Procedures for receipt and consideration of information submitted by the public                                                                                                                                    |
| <p>4) If any of the requirements in number 3 are not addressed, explain how the MS4 intends to incorporate them into the control mechanisms?</p>                                                                                                                                                                                                                        | <p>Explanation:</p>                                                                                                                                                                                                |
| <p>5) Explain how the MS4 intends to enforce the requirements within their jurisdiction?</p>                                                                                                                                                                                                                                                                            | <p>Explanation:</p>                                                                                                                                                                                                |